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Examining Group 1617
Patent Application
Docket No. UTR-104C1
Serial No. 10/017,568

Frank C. Erenschenk
Frank C. Erenschenk, Ph.D., Patent Attorney



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : (Unassigned)
Art Unit : 1617
Applicants : Michael B. Zemel, Hang Shi, Paula C. Zemel
Serial No. : 10/017,568
Filed : December 14, 2001
Confirm. No. : 9941
For : Materials and Methods for the Treatment or Prevention of Obesity

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

A Petition and fee for a two-month Extension of Time through and including July 20, 2002, accompanies this Response.

The above-referenced patent application was filed with an unsigned Declaration (37 C.F.R. § 1.63) and Power of Attorney form. Transmitted herewith is a fully executed Declaration (37 C.F.R. § 1.63) and Power of Attorney form for the subject application. A Notice to File Missing Parts of Application was received from the Patent and Trademark Office, and a copy of that Notice is attached hereto.

Please charge the small entity surcharge of \$65.00 to Deposit Account No. 19-0065. The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 19-0065. Two copies of this transmittal letter are enclosed.

Respectfully submitted,



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Gainesville, FL 32606-6669

FCE/jaj

Enclosures: Petition for Two-Month Extension of Time (in duplicate)
Extra Copy of this Transmittal Letter
Response Copy of Notice to File Missing Parts
Executed Declarations and Powers of Attorney (9 pages)
Redlined Copy of March 20, 2002 Filing Receipt
Return Receipt Post Card

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UNITED STATES PATENT AND TRADEMARK OFFICE

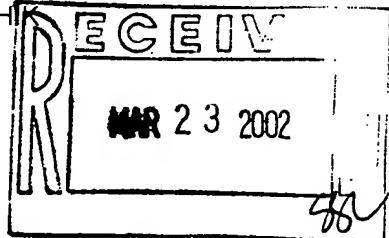


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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/017,568	12/14/2001	1617	1496	UTR-104C1	12	34	9

CONFIRMATION NO. 9941

23557
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SUITE A-1
GAINESVILLE, FL 326066669



FILING RECEIPT



OC000000007681880

Date Mailed: 03/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael B. Zemel, Knoxville, TN;
Hang Shi, Knoxville, TN;
Paula C. Zemel, Knoxville, TN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/654,357 09/01/2000
WHICH IS A CIP OF PCT/US01/27432 09/04/2001

Foreign Applications

AND

If Required, Foreign Filing License Granted 03/20/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Materials and methods for the treatment or prevention of obesity

Preliminary Class

514



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,568	12/14/2001	Michael B. Zemel	UTR-104C1

23557
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 SUITE A-1
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CONFIRMATION NO. 9941
FORMALITIES LETTER

 OC000000007681881

Date Mailed: 03/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/29/2002 HBERHE 00000019 190065 10017568
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